

Example: A code table for certain binary code is given as

| <u>X_i</u> | <u>$P(X_i)$</u> | <u>Codeword</u> | <u>L_i</u> |
|-------------------------|----------------------------|-----------------|-------------------------|
| X_1 | 0.2 | 0 | 1 |
| X_2 | 0.1 | 10 | 2 |
| X_3 | 0.4 | 110 | 3 |
| X_4 | 0.3 | 111 | 3 |

a) Find the average code length ; b) If a received sequence is 1011000111110, check if this sequence can be uniquely decoded or not.

Solution:-

a) $L_c = \bar{li} = \sum_{i=1}^n li P(xi) = [1 \times 0.2 + 2 \times 0.1 + 3 \times 0.4 + 3 \times 0.3] = 2.5 \text{ bit / symbol}$

b) Using previous code table

| | | | | | | |
|-------|-------|-------|-------|-------|-------|---|
| 10 | 110 | 0 | 0 | 111 | 110 | |
| X_2 | X_3 | X_1 | X_1 | X_4 | X_3 | ... Decoded uniquely as $X_2 X_3 X_1 X_1 X_4 X_3$. |

Note that for previous example, the code is not optimum in terms of L_c . we can reduce L_c by giving less L_i for X_i with higher probability. Hence the previous example can be modified.

This will give $L_c = 1.9$ bits/message which is less than before.

| <u>X_i</u> | <u>$P(X_i)$</u> | <u>Codeword</u> | <u>L_i</u> |
|-------------------------|----------------------------|-----------------|-------------------------|
| X_3 | 0.4 | 0 | 1 |
| X_4 | 0.3 | 10 | 2 |
| X_1 | 0.2 | 110 | 3 |
| X_2 | 0.1 | 111 | 3 |

The condition for uniquely decodable code is that if x_i is given a codeword C_i of L_i bits then these L_i bits must not be the beginning of any other codeword C_j of higher length L_j for message x_j . So for previous example if “0” is a codeword, then no other codewords of higher length starts at “0”. Also if “10” is a codeword, then no other codewords of higher length starts at “10” and so on. Hence the previous example was uniquely decodable, but the following codes

| | |
|-------|-----|
| x_1 | 0 |
| x_2 | 01 |
| x_3 | 10 |
| x_4 | 111 |

are not uniquely decodable since “0” is a codeword for x_1 while the codeword for x_2 starts with “0”.

Code Efficiency & Redundancy:

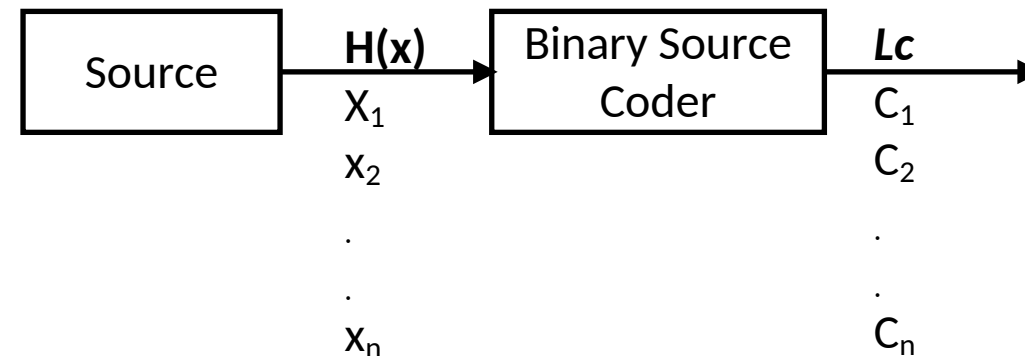
A code with average code length L_c has coding efficiency

$$\eta = \frac{H(x)}{L_c \log_2 D}$$

$D=2$ for binary and $D=3$ for Ternary and so on...

Redundancy $R=1-\eta$

For binary coding $\eta = \frac{H(x)}{L_c}$



Fixed Length Code: This is used when the source produces almost equiprobable messages $P(x_1) \cong P(x_2) \cong P(x_3) \dots \cong P(x_n)$ then $L_1 = L_2 \dots L_n = L_c$.

For binary coding:

1. $L_c = \log_2(n)$ bit/symbol if $n = 2^r$, $r = 1, 2, 3, 4$ and $n = 2, 4, 8, 16$ which gives $\eta = 100\%$
2. $L_c = \text{int}[\log_2 n] + 1$ if $n \neq 2^r$ which gives less efficiency

Example: For ten equiprobable messages coded in fixed code length. Find: L_c , codeword and η ?

Solution:-

$$n = 10$$

$$L_c = \text{int}[\log_2 10] + 1 = 4 \text{ bit/message}$$

$$H(X) = \log_2 10 = 3.3219 \text{ bit/message}$$

$$\eta = H(x)/L_c = 3.3219/4 = 83\%.$$

| <u>X_i</u> | <u>Codeword</u> |
|-------------------------|-----------------|
| X_1 | 0000 |
| X_2 | 0001 |
| X_3 | 0010 |
| X_4 | 0011 |
| X_5 | 0100 |
| X_6 | 0101 |
| X_7 | 0110 |
| X_8 | 0111 |
| X_9 | 1000 |
| X_{10} | 1001 |

Example: Find coding efficiency of a fixed code length used to encode messages obtained from throwing a fair dice: **a.** Once **b.** Twice **c.** 3-times

Solution:- For a fair dice, $n=6$ equiprobable

a. Once

$$L_c = \text{int}[\log_2 6] + 1 = 3 \text{ bit/message}$$

$$H(X) = \log_2 6 = 2.584 \text{ bit/message}$$

$$\eta = H(x)/L_c = 2.584/3 = 86.1\%$$

b. Twice

$$n = 6 \times 6 = 36$$

$$L_c = \text{int}[\log_2 36] + 1 = 6 \text{ bit/message}$$

$$H(X) = \log_2 36 = 5.1699$$

$$\eta = H(x)/L_c = 5.1699/6 = 86.1\%$$

c. Three times

$$n = 6 \times 6 \times 6 = 216$$

$$L_c = \text{int}[\log_2 216] + 1 = 8 \text{ bit/message}$$

$$H(X) = \log_2 216 = 7.75488$$

$$\eta = H(x)/L_c = 7.75488/8 = 96.936\%$$

When the messages probability are not equal then we use variable length codes, these codes are some times called (**minimum redundancy codes**). In the following, the Shannon, Fano and Huffman coding will be explained for binary coding ($D=2$) and ternary coding ($D=3$) will be easily modified.

1. Shannon Codes

For messages X_1, X_2, \dots, X_n with prob. $P(X_1), P(X_2) \dots, P(X_n)$ then:-

$$l_i = \begin{cases} -\log_2 p(x_i) \dots & \text{if } p(x_i) = \left(\frac{1}{2}\right)^r = \left(\frac{1}{2}, \frac{1}{4}, \frac{1}{8} \dots\right) \\ \text{int} [-\log_2 p(x_i)] + 1 & \dots \text{if } p(x_i) \neq \left(\frac{1}{2}\right)^r \end{cases}$$

Also define $\omega_i = \sum_k^{i-1} p(x_k) \quad 0 \leq \omega_i < 1$

Then the codeword of x_i is the binary equivalent for ($D=2$) for ω_i consisting of l_i bits.

Before starting encoding, messages must be re-arranged in a decreasing order of probabilities.

Example: develop the Shannon code for the following set of messages, then find:

a) Code efficiency and b) $P(0)$ & $P(1)$ at the encoder output?

$P(x_i)=[0.4 \ 0.25 \ 0.15 \ 0.1 \ 0.07 \ 0.03]$

Solution:

$L_1 = \text{int} [-\log_2 0.4]+1 = 2 \text{ bit/message}$

$L_2 = -\log_2 0.25 = 2 \text{ bit/message}$

$L_3 = \text{int} [-\log_2 0.15]+1 = 3 \text{ bit/message}$

$L_4 = \text{int} [-\log_2 0.1]+1 = 4 \text{ bit/message}$

$L_5 = \text{int} [-\log_2 0.07]+1 = 4 \text{ bit/message}$

$L_6 = \text{int} [-\log_2 0.03]+1 = 6 \text{ bit/message}$

| x_i | $P(x_i)$ | ω_i | L_i | Codeword c_i | 0_i | 1_i |
|-------|----------|------------|-------|----------------|-------|-------|
| x_1 | 0.4 | 0 | 2 | 00 | 2 | 0 |
| x_2 | 0.25 | 0.4 | 2 | 01 | 1 | 1 |
| x_3 | 0.15 | 0.65 | 3 | 101 | 1 | 2 |
| x_4 | 0.1 | 0.8 | 4 | 1100 | 2 | 2 |
| x_5 | 0.07 | 0.9 | 4 | 1110 | 1 | 3 |
| x_6 | 0.03 | 0.97 | 6 | 111110 | 1 | 5 |

$\omega_1 = 0; \quad \omega_2 = P(x_1) = 0.4; \quad \omega_3 = P(x_1) + P(x_2) = 0.65; \quad \omega_4 = P(x_1) + P(x_2) + P(x_3) = 0.8$

$\omega_5 = P(x_1) + P(x_2) + P(x_3) + P(x_4) = 0.9; \quad \omega_6 = P(x_1) + P(x_2) + P(x_3) + P(x_4) + P(x_5) = 0.97$

$c_1 = \omega_1 \times 2 = 0 \times 2 = 0, \quad 0 \times 2 = 0 \quad \hookrightarrow 00$

$c_2 = \omega_2 \times 2 = 0.4 \times 2 = 0.8, \quad 0.8 \times 2 = 1.6 \quad \hookrightarrow 01$

$c_3 = \omega_3 \times 2 = 0.65 \times 2 = 1.3, \quad 0.3 \times 2 = 0.6, \quad 0.6 \times 2 = 1.2 \quad \hookrightarrow 101$

$c_4 = \omega_4 \times 2 = 0.8 \times 2 = 1.6, \quad 0.6 \times 2 = 1.2, \quad 0.2 \times 2 = 0.4, \quad 0.4 \times 2 = 0.8 \quad \hookrightarrow 1100$

$c_5 = \omega_5 \times 2 = 0.9 \times 2 = 1.8, \quad 0.8 \times 2 = 1.6, \quad 0.6 \times 2 = 1.2, \quad 0.2 \times 2 = 0.4 \quad \hookrightarrow 1110$

$c_6 = \omega_6 \times 2 = 0.97 \times 2 = 1.94, \quad 0.94 \times 2 = 1.88, \quad 0.88 \times 2 = 1.76, \quad 0.76 \times 2 = 1.52,$

$0.52 \times 2 = 1.04, \quad 0.04 \times 2 = 0.08 \quad \hookrightarrow 111110$

a) Code efficiency $\eta = \frac{H(x)}{L_c \log_2 D}$, $H(X) = -\sum_1^6 P(x_i) \log_2 P(x_i) = 2.1918 \text{ bits/message}$

$$L_c = \bar{l}i = \sum_{i=1}^6 l_i P(x_i) = 2.61 \text{ bits/message}$$

$$\rightarrow \eta = \frac{2.1918}{2.61 \log_2 2} = 83.9\%$$

b) $P(0) = \frac{\sum_{i=1}^6 0_i P(x_i)}{L_c} = \frac{[2 \times 0.4 + 1 \times 0.25 + 1 \times 0.15 + 2 \times 0.1 + 1 \times 0.07 + 1 \times 0.03]}{2.61} = 0.5674$

$$P(1) = \frac{\sum_{i=1}^6 1_i P(x_i)}{L_c} \text{ or } P(1) = 1 - P(0) = 0.426$$

HW. Repeat previous example for Ternary Shannon coding ?

Notes: 1. base of log in evaluating l_i will be 3.

2. The condition of l_i will be $\left(\frac{1}{3}\right)^r$

3. ω_i is changed into ternary word of length l_i .

Example Develop ternary Shannon code for the following set of messages, $p(x) = [0.3 \ 0.2 \ 0.15 \ 0.12 \ 0.1 \ 0.08 \ 0.05]$

Solution

$$l_i = \begin{cases} -\log_3 p(x_i) \dots & \text{if } p(x_i) = \left(\frac{1}{3}\right)^r = \left(\frac{1}{3}, \frac{1}{9}, \frac{1}{27} \dots\right) \\ \text{int}[-\log_3 p(x_i)] + 1 & \dots \text{if } p(x_i) \neq \left(\frac{1}{3}\right)^r \end{cases}$$

To find C_5 then multiply w_5 by 3 L_5 times as below

$$\begin{array}{rcl} 0.77 \div 3 = 2.31 & 2 & \\ 0.31 \div 3 = 0.93 & 0 & \\ 0.93 \div 3 = 2.79 & 2 & \end{array} \downarrow$$

$$\eta = \frac{H(x)}{L_c \log_2 D}$$

$$= 73.632\%$$

$$P(0) = 0.404$$

| X_i | $P(x_i)$ | L_i | w_i | C_i | O_i |
|-------|----------|-------|-------|-------|-------|
| x_1 | 0.3 | 2 | 0 | 00 | 2 |
| x_2 | 0.2 | 2 | 0.3 | 02 | 1 |
| x_3 | 0.15 | 2 | 0.5 | 11 | 0 |
| x_4 | 0.12 | 2 | 0.65 | 12 | 0 |
| x_5 | 0.10 | 3 | 0.77 | 202 | 1 |
| x_6 | 0.08 | 3 | 0.87 | 212 | 0 |
| x_7 | 0.05 | 3 | 0.95 | 221 | 0 |

Procedure for binary coding:

- Arrange messages in decreasing order of probability.
- Find out a point in that order in which the sum of probability upward is almost equal to the sum of probability downward. Assign all messages upward as "0" and all messages downward as "1".
- Repeat the previous step(b) many times on upward and downward until all messages are separated.

Example:- Develop Shannon-Fano Code for the following messages: $P(x_i) = [0.4 \ 0.25 \ 0.15 \ 0.1 \ 0.07 \ 0.03]$ then find:

- Code efficiency ; b. $P(0)$ & $P(1)$ at the encoder output

| x_i | $P(x_i)$ | Codeword c_i | L_i | 0_i | 1_i |
|-------|----------|----------------|-------|-------|-------|
| x_1 | 0.4 | 0 | 1 | 1 | 0 |
| x_2 | 0.25 | 10 | 2 | 1 | 1 |
| x_3 | 0.15 | 110 | 3 | 1 | 2 |
| x_4 | 0.1 | 1110 | 4 | 1 | 3 |
| x_5 | 0.07 | 11110 | 5 | 1 | 4 |
| x_6 | 0.03 | 11111 | 5 | 0 | 5 |

$$L_c = 1 \times 0.4 + 2 \times 0.25 + 3 \times 0.15 + 4 \times 0.1 + 5 \times 0.07 + 5 \times 0.03 = 2.25 \text{ bits/message}$$

$$H(X) = 2.1918 \text{ bits/message} \quad \rightarrow \quad \eta = \frac{2.1918}{2.25 \log_2 2} = 97.4\%$$

$$P(0) = \frac{\sum_{i=1}^6 0_i P(x_i)}{L_c} = 0.4311 \quad \rightarrow \quad P(1) = 0.5689$$

Example:- Develop Fano Code for the following messages: $P(x_i) = [0.4 \ 0.2 \ 0.12 \ 0.08 \ 0.08 \ 0.04 \ 0.04 \ 0.04]$ then find:

a. Code efficiency ; b. $P(0)$ & $P(1)$ at the encoder output

Solution:-

$Lc = 2.56$ bits/message and

$H(X) = 2.5$ bits/message

$$\rightarrow \eta = \frac{2.5}{2.56} = 97.6\%$$

$$P(0) = \frac{\sum_{i=1}^6 0_i P(x_i)}{Lc} = 0.484 \rightarrow P(1) = 0.515$$

| | x_i | $P(x_i)$ | <u>Codeword c_i</u> | L_i | 0_i |
|---|-------|----------|----------------------------------|-------|-------|
| 1 | x_1 | 0.4 | 0 | 1 | 1 |
| 3 | x_2 | 0.2 | 100 | 3 | 2 |
| 2 | x_3 | 0.12 | 101 | 3 | 1 |
| 5 | x_4 | 0.08 | 1100 | 4 | 2 |
| 4 | x_5 | 0.08 | 1101 | 4 | 1 |
| 6 | x_6 | 0.04 | 1110 | 4 | 1 |
| 7 | x_7 | 0.04 | 11110 | 5 | 1 |
| | x_8 | 0.04 | 11111 | 5 | 0 |

Notes:-

1- Number of line sequences represents the order of drawing these lines.

2- For above example, less η is obtained (higher Lc) if starting line is between x_2 and x_3 which gives the same balancing of sum of prob. compared with that used in above solution ($0.4 \leftrightarrow 0.6$).

For Ternary Shannon-Fano Code, find out two lines in each step that split the sum of prob. Into almost three equal parts giving them as 0,1,2.

HW:- Develop Ternary Shannon-Fano Code for the following messages: $P(x_i) = [0.4 \ 0.25 \ 0.15 \ 0.1 \ 0.07 \ 0.03]$ then find:

a. Code efficiency ; b. $P(0)$, $P(1)$ & $P(2)$ at the encoder output